A Prismatic Perspective on Hodge-de Rham Degeneration

May 16, 2023

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Classical Hodge Theory

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$$\mathrm{H}^{n}(X, \mathbf{C}) \simeq \bigoplus_{i+j=n} \mathrm{H}^{i}(X, \Omega_{X}^{j}).$$

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Question

What happens over other fields?

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Algebraic de Rham Theory

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Algebraic de Rham Theory

Let X be a smooth projective variety over any field k.

Definition (Grothendieck, 1966)

$$\mathrm{H}_{\mathsf{dR}}(X) := \mathbb{H}^*(X, \Omega^0_X \xrightarrow{d} \Omega^1_X \xrightarrow{d} \Omega^2_X o \cdots).$$

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This is controlled by a Hodge-de Rham spectral sequence

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Corollary

If
$$k = \mathbf{C}$$
, the map $\mathrm{H}^{i}(X, \Omega_{X}^{j}) \to \mathrm{H}^{i}(X, \Omega^{j+1})$ is zero.

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Corollary

If $k = \mathbf{C}$, every (globally defined) algebraic differential form on X is closed.

Characteristic p

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Over fields of positive characteristic, this is not necessarily true!

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Theorem (Mumford, 1961)

In characteristic p, there are algebraic surfaces X with (globally defined) 1-forms which are not closed.

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Theorem (Mumford, 1961)

In characteristic p, there are algebraic surfaces X with (globally defined) 1-forms which are not closed.

For such a surface, the Hodge-de Rham spectral sequence $\mathrm{H}^{i}(X, \Omega_{X}^{j}) \Rightarrow \mathrm{H}_{\mathrm{dR}}^{i+j}(X)$ does not degenerate.

Characteristic Zero

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In 1987, Deligne-Illusie found an algebraic proof, using characteristic p methods.

Reduction to Positive Characteristic

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If the Hodge-de Rham spectral sequence does not degenerate for X_0 , then it does not degenerate for X_s for all s belonging to a dense open $U \subseteq \text{Spec}(A)$.

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If the Hodge-de Rham spectral sequence does not degenerate for X_0 , then it does not degenerate for X_s for all s belonging to a dense open $U \subseteq \text{Spec}(A)$.

The scheme U has many points s such that $\kappa(s)$ is a finite field!

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The Work of Deligne-Illusie

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Theorem (Deligne-Illusie, 1987)

Assume that:

(a) $\dim(X) \leq p$.

(b) X lifts to a $W_2(k)$ -scheme.

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Corollary

In characteristic zero, the Hodge-de Rham spectral sequence degenerates.

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The Hypotheses of Deligne-Illusie

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Theorem (Mumford, 1961)

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Theorem (Petrov, 2023)

Hypothesis (a) also cannot be omitted.

A Weaker Statement

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Theorem (Weak Deligne-Illusie)

If dim(X/W(k)) < p, then the Hodge-de Rham spectral sequence degenerates for the special fiber

$$X_0 := \operatorname{Spec}(k) \times_{\operatorname{Spec}(W(k))} X.$$

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Goal: sketch a "prismatic" proof of this result, based on joint work with Bhargav Bhatt (and discovered independently by Drinfeld).

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- Each cohomology sheaf $\mathcal{H}^d(\Omega^{\not\!\!D}_X)$ is Ω^d_X .
- There is a quasi-isomorphism

$$(\Omega_X^{\not\!D})|_{X_0} \simeq \varphi_*(\Omega_{X_0}^0 \xrightarrow{d} \Omega_{X_0}^1 \xrightarrow{d} \Omega_{X_0}^2 \xrightarrow{d} \cdots).$$

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• $\Omega_X^{\not D}$ has an endomorphism Θ which acts by -d on $\mathcal{H}^d(\Omega_X^{\not D})$.

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Reducing modulo p and passing to cohomology, this gives a (Frobenius-semilinear) isomorphism

$$\mathrm{H}^{n}_{\mathsf{dR}}(X_{0})\simeq \bigoplus_{i+j=n}\mathrm{H}^{j}(X_{0},\Omega^{i}_{X_{0}}).$$

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When X is projective, this implies the degeneration of the Hodge-de Rham spectral sequence (by dimension counting).

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Let C be a completed algebraic closure of K = W(k)[1/p].

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Theorem

For X smooth and projective over W(k), there is an isomorphism

$$\mathrm{H}^*_{\mathrm{\acute{e}t}}(X_{\mathcal{C}},\mathcal{C})\simeq\mathrm{H}^*(X,\Omega^{\not\!\!D}_X)\otimes_{W(k)}\mathcal{C}$$

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This is Galois-equivariant if $G = \operatorname{Gal}(W(k)[1/p])$ acts on $\operatorname{H}^*(\Omega_X^{\mathbb{D}})$ by the formula

$$(g \in G) \mapsto \chi(g)^{\Theta} \approx \exp(\log(\chi(g)) \cdot \Theta).$$

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With the splitting of the last slide, this (essentially) recovers the Hodge-Tate decomposition

$$\mathrm{H}^n_{\mathrm{\acute{e}t}}(X_{\mathcal{C}},\mathcal{C})\simeq \bigoplus_{i+j=n}\mathrm{H}^j(X_{\mathcal{C}},\Omega^i_{X_{\mathcal{C}}})(-i).$$

Construction of the Diffracted Hodge Complex

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- We will consider only the *p*-completion of $\Omega_X^{\not D}$ (which we denote by $\widehat{\Omega}_X^{\not D}$).

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- We will assume that $k = \mathbf{F}_p$ (so that X is smooth over \mathbf{Z}_p).
- We will consider only the *p*-completion of Ω^D_X (which we denote by Ω^D_X).
- We will replace X by its p-completion (a formal scheme over Spf(Z_p)).
Digression: Prisms

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A (torsion-free, orientable) prism is a pair (A, I), where:

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Example

We can take
$$A = \mathbf{Z}_p[[q-1]]$$
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Example

We can take $A = \mathbf{Z}_p[[q-1]]$ with $\varphi(q) = q^p$, and $d = 1 + q + \cdots + q^{p-1}$. This is the *q*-de Rham prism.

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Prismatic Cohomology

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Extending scalars from A to A/I gives a coherent complex of \mathcal{O}_Y -modules $\overline{\mathbb{A}}_{X/A}$.

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Image: Image:

Consider the q-de Rham prism $(\mathbf{Z}_p[[q-1]], (1+\cdots+q^{p-1})).$

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Consider the *q*-de Rham prism $(\mathbf{Z}_p[[q-1]], (1 + \dots + q^{p-1})).$ Let X be smooth over \mathbf{Z}_p and set $X' = X \times \text{Spf}(\mathbf{Z}_p[\zeta_p]).$ Consider the *q*-de Rham prism $(\mathbf{Z}_p[[q-1]], (1 + \dots + q^{p-1})).$ Let X be smooth over \mathbf{Z}_p and set $X' = X \times \text{Spf}(\mathbf{Z}_p[\zeta_p]).$ $q\Omega_X^* := \mathbb{A}_{X'/\mathbf{Z}_p[[q-1]]}$ is called the *q*-de Rham complex of X. Consider the *q*-de Rham prism $(\mathbf{Z}_p[[q-1]], (1 + \dots + q^{p-1})).$ Let X be smooth over \mathbf{Z}_p and set $X' = X \times \text{Spf}(\mathbf{Z}_p[\zeta_p]).$ $q\Omega_X^* := \mathbb{A}_{X'/\mathbf{Z}_p[[q-1]]}$ is called the *q*-de Rham complex of X. It is a complex of $\mathbf{Z}_p[[q-1]]$ -modules on X. Consider the *q*-de Rham prism $(\mathbf{Z}_p[[q-1]], (1 + \dots + q^{p-1}))$. Let X be smooth over \mathbf{Z}_p and set $X' = X \times \text{Spf}(\mathbf{Z}_p[\zeta_p])$. $q\Omega_X^* := \mathbb{A}_{X'/\mathbf{Z}_p[[q-1]]}$ is called the *q*-de Rham complex of X. It is a complex of $\mathbf{Z}_p[[q-1]]$ -modules on X. Specializing to q = 1 gives the usual de Rham complex $(\Omega_X^0 \xrightarrow{d} \Omega_X^1 \to \dots)$.

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$$\widehat{\Omega}_X^{\not D} := (\overline{\mathbb{A}}_{X'/\mathsf{Z}_p[[q-1]]})^{\mathsf{F}_p^{\times}} = (q\Omega_X^*)_{q=\zeta_p}^{\mathsf{F}_p^{\times}}.$$

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It has an action of \mathbf{F}_{p}^{\times} (permuting roots of unity).

Construction

$$\widehat{\Omega}_X^{\not D} := (\overline{\mathbb{A}}_{X'/\mathsf{Z}_p[[q-1]]})^{\mathsf{F}_p^{\times}} = (q\Omega_X^*)_{q=\zeta_p}^{\mathsf{F}_p^{\times}}.$$

Warning

From this perspective, it is hard to see the Sen operator Θ !

The Universal (Oriented) Prism

A Prismatic Perspective on Hodge-de Rham Degeneration

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It is universal among prisms with a *specified* generator of *I*.

Geometry of the Universal (Oriented) Prism

A Prismatic Perspective on Hodge-de Rham Degeneration

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This carries a transitive action of the affine group scheme W^{\times} (by multiplication). The action is not free: the equation

$$V(u)\cdot u'=V(u\cdot F(u')),$$

means that Y is stabilized by the subgroup

$$W^{\times}[F] := \ker(F : W^{\times} \to W^{\times}).$$

The Diffracted Hodge Complex: Refined Approach

Then $X' = X \times Y$ is smooth over Y = Spf(A/I).

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This is the diffracted Hodge complex.

The Group Scheme $W^{\times}[F]$

A Prismatic Perspective on Hodge-de Rham Degeneration

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Over Spec(\mathbf{Z}_p), the projection $W^{\times} \twoheadrightarrow \mathbf{G}_m$ induces a morphism of affine group schemes $W^{\times}[F] \to \mathbf{G}_m = \text{Spec}(\mathbf{Z}_p[t, t^{-1}])$.

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Explicit calculation shows that this subalgebra is generated by $t^{\pm 1}$ and the divided powers $\frac{(t-1)^n}{n!}$.

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That is, $W^{\times}[F] = \mathbf{G}_m^{\sharp}$ is the divided power envelope of \mathbf{G}_m along its identity section.

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Representations of \mathbf{G}_m^{\sharp}

A Prismatic Perspective on Hodge-de Rham Degeneration

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Let X be a formal scheme and let \mathcal{E} be a coherent complex on X.

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Instead, we get the operator Θ (and essentially nothing else). This is the Sen operator.

P. A Prismatic Perspective on Hodge-de Rham Degeneration

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The profinite group \mathbf{Z}_{p}^{\times} acts on $\mathbf{Z}_{p}[[q-1]]$ by the construction

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A Prismatic Perspective on Hodge-de Rham Degeneration

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This still carries an action of $(1 + p \mathbf{Z}_p)$.

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The Upshot

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The difference is essentially a factor of p. (But an important one!)